PTO/SB/21 (09-04)
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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number	10/507,928-Conf. #6804
Filing Date	March 19, 2003
First Named Inventor	Ralph P. Braun
Art Unit	1633
Examiner Name	Not Yet Assigned
Attorney Docket Number	HO-P03173US0

	EN	CLOSURES (Check all	that apply	<u>'</u>)			
Fee Transr	mittal Form	Drawing(s)		After Allowance Communication to TC			
Fee	Attached	Licensing-related Papers		Appeal Communication to Board of Appeals and Interferences			
Amendme	nt/Reply	Petition		Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)			
. After	Final	Petition to Convert to a Provisional Application		Proprietary Information			
Affida	avits/declaration(s)	Power of Attorney, Revocation Change of Correspondence	on Address	Status Letter			
Extension	of Time Request	Terminal Disclaimer		X Other Enclosure(s) (please Identify below):			
Express Al	bandonment Request	Request for Refund		Return Receipt Postcard 22 References			
x Information	n Disclosure Statement	CD, Number of CD(s)					
Certified C	opy of Priority (s) .	Landscape Table on CD					
Reply to M Incomplete	lissing Parts/ Application	Remarks					
	y to Missing Parts under FR 1.52 or 1.53						
	SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT						
Firm Name	FULBRIGHT & JAWORSKI L.L.P.						
Signature							
Printed name	Melissa W. Acosta, Ph.D.						
Date	July 26, 2006		Reg. No.	45,872			



Docket No.: HO-P03173US0

(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Ralph P. Braun et al.

Application No.: 10/507,928

Confirmation No.: 6804

Filed: March 19, 2003

Art Unit: 1633

For:

IMIDAZOQUINOLINEAMINES A

ADJUVANTS IN HIV DNA VACCINATION

Examiner: Not Yet Assigned

INFORMATION DISCLOSURE STATEMENT (IDS)

MS Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 CFR 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO/SB/08. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement is filed before the mailing date of a first Office Action on the merits as far as is known to the undersigned (37 CFR 1.97(b)(3)).

Applicant has not submitted copies of each cited U.S. patent and U.S. patent application as required by 37 CFR 1.98(a)(2)(i), amended October 2004, as the U.S. Patent and Trademark Office has waived this requirement for all U.S. patent applications. Applicant submits herewith copies of foreign and non-patents in accordance with 37 CFR 1.98(a)(2).

In accordance with 37 CFR 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists. In accordance with 37 CFR 1.97(h), the filing of this Information Disclosure statement shall not be construed to be an

Application No.: 10/507,928 Docket No.: HO-P03173US0

admission that any patent, publication or other information referred to therein is "prior art" for this invention unless specifically designated as such.

It is submitted that the Information Disclosure Statement is in compliance with 37 CFR 1.98 and the Examiner is respectfully requested to consider the listed references.

The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 06-2375, under Order No. HO-P03173US0.

Dated: July 26, 2006

Respectfully submitted,

Melissa W. Acosta, Ph.D. Registration No.: 45,872

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PTO/SB/08A (10-01)

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Sub	Substitute for form 1449A/PTO			Complete if Known		
				Application Number	10/507,928	
11	NFORMATIC	ON DIS	CLOSURE	Filing Date	March 19, 2003	
S	STATEMENT BY APPLICANT			First Named Inventor	Ralph P. Braun	
				Art Unit	1633	
	(use as many sheets as necessary)			Examiner Name	Not Yet Assigned	
Sheet	1	of	2	Attorney Docket Number	HO-P03173US0	

ction Act of 1995, no persons are required to res

			U.S. PATEN	IT DOCUMENTS		
Examiner	Cite	Document Number	Publication Date	Name of Patentee or Applicant	Pages, Columns, Lines, t Where Relevant	
Initials*	No.1	Number-Kind Code ² (if known)	MM-DD-YYYY	of Cited Document	Passages or Relevant Figures Appear	
	A1	US-4,689,338	08-25-1987	Gerster		
	A2	US-4,929,624	05-29-1990	Gerster et al.		
	A3	US-5,238,944	08-24-1993	Wick et al.		
	A4	US-5,266,575	11-30-1993	Gerster et al.		
	A5	US-5,268,376	12-07-1993	Gester	•	
	A6	US-5,346,905	09-13-1994	Gerster		
	A7	US-5,352,784	10-04-1994	Nikolaides et al.		
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	A9	US-5,395,937	03-07-1995	Nikolaides et al.		
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	A18	US-6,558,951	05-06-2003	Tomai et al.		

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Examiner Initials*	Cite No.1	Foreign Patent Document Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶		
	B1	WO-98/46263	10-22-1998	Lu				
	B2	WO-99/29693	06-17-1999	Lindstrom et al.				
	В3	WO-01/47955	07-05-2001	Hanke et al.				

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant

¹ Applicant's unique citation designation number (optional). ² See attached Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the application number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

		NON PATENT LITERATURE DOCUMENTS	
		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
	C1	Bryant et al., "Biology and molecular biology of human immunodeficiency virus," <i>Pediatr. Infect. Dis. J.</i> , 11: 390-400, 1992.	
-	C2	Burns et al., "The Imidazoquinolines, Imiquimod and R-848, Induce Functional, but Not Phenotypic, Maturation of Human Epidermal Langerhans' Cells," <i>Clinical Immunology</i> , 94(1): 13-23, 2000.	
	C3	Deonarain, "Ligand-targeted receptor-mediated vectors for gene delivery," Exp. Opin. Ther. Patents, 8(1): 53-69, 1998.	
	C4	Dockrell et al., "Imiquimod and resiquimod as novel immunomodulators," Journal of Antimicrobial Chemotherapy, 48: 751-755, 2001.	

Examiner	Date	
Signature	Considered	

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Sut	ostitute for form 1449A/P1	го		Complete if Known		
				Application Number	10/507,928	
11	VFORMATIC	N DI	SCLOSURE	Filing Date	March 19, 2003	
S	STATEMENT BY APPLICANT			First Named Inventor	Ralph P. Braun	
				Art Unit	1633	
	(use as many sheets as necessary)			Examiner Name	Not Yet Assigned	
Sheet	2	of	2	Attorney Docket Number	HO-P03173US0	

C5	Eck et al., "Gene-Based Therapy," In: Goodman & Goodman's The Pharmacological Basis of Therapeutics, 9th Ed., McGraw-Hill, pp. 77-101, 1996.
C6	Gorecki, "Prospects and problems of gene therapy: an update," Expert Opin. Emerging Drugs, 6(2): 187-198, 2001.
C7	Greene, "The Molecular Biology of Human Immunodeficiency Virus Type 1 Infection," The New England Journal of Medicine, 324(5): 308-317, 1991.
C8	Harrison et al., "Reduction of recurrent HSV disease using imiquimod alone or combined with a glycoprotein vaccine," <i>Vaccine</i> , 19: 1820-1826, 2001.
C9	Hemmi et al., "Small anti-viral compounds activate immune cells <i>via</i> the TLR7 MyD88-dependent signaling pathway," <i>Nature Immunology</i> , 3(2): 196-200, 2002.
C10	
C11	Lisziewicz et al., "Therapeutic vaccination for future management of HIV/AIDS," <i>Vaccine</i> , 21:620-623, 2003.
C12	Cancer Center, Philadelphia, Pennsylvania, 52: 271-273, 1999.
C13	Spruance et al., "Application of a Topical Immune Response Modifier, Resiquimmod Gel, to Modify the Recurrence Rate of Recurrent Genital Herpes: A Pilot Study," <i>The Journal of Infectious Diseases</i> , 184: 196-200, 2001.
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C15	Tomai et al., "Immunomodulating and antiviral activities of the imidazoquinoline S-28463," Antiviral Research, 28: 253-264, 1995.
C16	Ulmer et al., "Heterologous Protection Against Influenza by Infection of DNA Encoding a Viral Protein," <i>Science</i> , 259: 1745-1749, 1993.
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C18	Wang et al., "Gene inoculation generates immune responses against human immunodeficiency virus type 1," <i>Proc. Natl. Acad. Sci. USA</i> , 90: 4156-4160, 1993.
C19	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Examiner	Date	
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